

MCCUTCHEN

MCCUTCHEN, DOYLE, BROWN & ENERSEN, LLP

ATTORNEYS AT LAW

3150 Porter Drive
Palo Alto, California 94304-1212
Tel. (650) 849-4400 Fax (650) 849-4800
www.mccutchen.comSan Francisco
Los Angeles
Palo Alto
Walnut CreekAffiliate Office:
Taipei**Fax Cover Page**Date: 5/29/01 Number of Pages (including cover page): 6To: US PTO - Box Missing PartsFax: 703-305-7230 Voice: _____

To: _____

Fax: _____ Voice: _____

To: _____

Fax: _____ Voice: _____

From: _____ Telephone: _____

Hard Copy to be Mailed: ____ Yes ☒ No Name of our Operator: _____ (Voice) (650) 849-4825COMMENTS: See attached**WARNING:**

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that any use, dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone, and return this original message to us at the above address via the U.S. Postal Service. Thank you.

Docket No. 22564-707

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[X] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Box Missing Parts at Facsimile No. 703-305-7230 at 7 p.m.

Dated: May 29, 2001

Name of Person Certifying: David Maher

Printed Name: David Maher

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael BITTNER, et al.

Assignees: Quantum Dot Corporation
and THE GOVERNMENT OF THE
UNITED STATES OF AMERICA, as
represented by the Secretary,
Department of Health and Human
Services

Filing Date: January 18, 2001

Examiner: Not Yet Assigned

Serial No.: 09/766,273

Group Art Unit: 1645

Title: **OLIGONUCLEOTIDE-TAGGED SEMICONDUCTOR NANOCRYSTALS FOR
MICROARRAY AND FLUORESCENCE IN SITU HYBRIDIZATION****BOX MISSING PARTS**Assistant Commissioner for Patents
Washington, D.C. 20231**PRELIMINARY AMENDMENT
STATEMENT UNDER 37 CFR 1.821(g)**

Applicants respectfully request entry of the following preliminary amendment.

IN THE SPECIFICATION

Please insert the attached Sequence Listing at the end of the specification. Support for the amendment can be found throughout the application as filed. No new matter is added. A computer-readable copy and a paper copy of the identical Sequence Listing have been submitted this day under separate cover in response to a Notice to File Missing Parts.

Docket No. 22564-707

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

DATE: 5/24/01

Respectfully submitted,

By: David W. Maher

David W. Maher

Registration No.: 40,077

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center
San Francisco, California 94111
Telephone: (650) 849-4908
Telefax: (650) 849-4800